

WE BELIEVE INNOVATION IS KEY TO GROWTH

ARK offers investment solutions that seek to capture long-term growth in the public markets.

www.ark-invest.com



Capturing Long-Term Growth Through Disruptive Innovation

Rooted in over 40 years of experience, we aim to identify long-term investment opportunities resulting from technological innovations such as robotics, artificial intelligence, energy storage, DNA sequencing, and blockchain technology. By focusing solely on disruptive innovation, we believe we can deliver long-term capital appreciation and performance with low correlation to traditional investment strategies.

ARK researches and invests in a broad global universe that spans all countries and market capitalizations to identify companies that we believe will be the leaders, enablers, and beneficiaries of innovation. ARK's Open Research Ecosystem is designed to capitalize on rapid change through the convergence of internal and external insights and the combination of top-down and bottom-up research.

ARK sizes the opportunity of innovation, and then evaluates companies we believe are best positioned to benefit. We combine an iterative investment process and active management of high-conviction portfolios. ARK aims to capitalize on rapid change, while avoiding industries and companies likely to be displaced by advances in technology.

ARK's Investment Strategies

ARK manages and sub advises a number of global public equity portfolios across a variety of investment vehicles. ARK's products include a suite of exchange traded funds (ETFs), mutual funds, and managed accounts all focused solely on disruptive innovation. Each strategy seeks investment opportunities that offer growth over 3-5 years that we believe the market ignores or underestimates.



**Disruptive Innovation
Conerstone Strategy**



**Genomic Revolution
Strategy**



**Next Generation
Internet Strategy**



**Fintech Innovation
Strategy**



**Autonomous Tech
& Robotics Strategy**



**ARK Venture
Strategy**



**Global Space
Strategy**



**3D Printing
Strategy**



**Israel Innovation
Strategy**



**Cryptocurrency
Strategy**



**Cryptoasset
Strategy**



**Early Stage
Disruptors Strategy**

For detailed information please contact info@ark-invest.com

Opportunity for Uncorrelated Growth with Low Overlap to Broad Benchmarks

- A negative correlation of relative returns to traditional value strategies
- A low correlation of relative returns to traditional growth strategies
- Strategies with high active share in the mid-to-upper 90th percentile¹
- Conviction-based portfolios unconstrained by geographic boundaries, sectors, or style
- Wide range of market capitalization from micro to mega caps

Potential Benefits of Investing in ARK's Strategies

- Long-term growth opportunities by accessing companies at the forefront of innovation
- Diversification with low correlation relative to traditional indices or managers
- Moderate-to-high risk profile suited for a medium-to-long term investment horizon
- Complement to broad-market indices or traditional value/growth managers
- Exposure to companies that have the potential to contribute positively to the future

[1] Investors should carefully consider the investment objectives and risks as well as charges and expenses of an ARK ETF before investing. This and other information are contained in the ARK ETFs' prospectus.

[2] Active Share is a measure of the percentage of stock holdings in a manager's portfolio that differ from a benchmark index. High Active Share can be an indicator for uncorrelated returns.

The ARK Difference

- ARK focuses solely on offering investment solutions to capture disruptive innovation
 - ARK's Founder and Chief Investment Officer (CIO), Catherine D. Wood, was CIO of Global Thematic Strategies at AllianceBernstein for a span of 12 years
 - ARK's CIO and Chief Futurist have worked together for over a decade
 - Research team is strategically organized by cross-sector innovation themes
 - ARK's Open Research Ecosystem seeks to identify disruptive innovation early
 - ARK's research approach benefits from collaborative, interdisciplinary information flow
 - ARK's active management focuses on the long-term effect of disruptive technologies.
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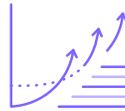
Why Innovation?

Three reasons why we believe innovation should be represented in an investor's portfolio:



Invest In The Future Today

Innovation could displace industry incumbents, increase efficiencies, and gain majority market share. We believe this technologically enabled change offers long-term opportunities for companies and investors alike.



Take Advantage Of Market Inefficiencies

Market inefficiencies, such as short-term time horizons, siloed investment styles, closed off research mentality, or backwards looking indices may cause investors to miss out on future growth driven by disruptive innovation.



Make The World A Better Place

Good innovation investing should focus on technologies and companies that are likely to have a positive impact on the environment, our society, and the world's ability to create further innovations.

Corporate Responsibility

ARK believes that innovation is key to growth and will make the world a better place. We seek to invest in companies aligned with this belief. To help us succeed in this mission, the adviser has adopted the United Nation's Sustainable Development Goals and are focusing on:



Good Health & Well-Being

Ensure healthy lives and promote well-being for all at all ages.



Quality Education

Ensure inclusive and equitable quality education and promote lifelong learning.



Gender Equality

Achieve gender equality and empower all women and girls.

Research and Investment Team

Catherine D. Wood

Founder, CEO, CIO

Prior: AllianceBernstein, CIO Global Thematic Strategies
BS, Finance and Economics, University of Southern California

Brett Winton

Chief Futurist

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BS, Mechanical Engineering, Massachusetts Institute of Tech.

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BA, Political Science, University of Michigan

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Prior: Graphiq, Business Development
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Founder and CEO, Pinn AI

Alexandra Urman

Analyst | Immunotherapy, Gene Editing

Prior: IBM Watson Health, Senior Research Program Manager
M.P.H., Public Health, New York Medical College

Additional Support from ARK Research Associates
Not included: Investment Operations and Trading



Contact

For further information regarding investment opportunities in disruptive innovation please contact ARK Invest at info@ark-invest.com, or call [+1 \(727\) 810-8160](tel:+17278108160).

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